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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

500581.08 (29666/US/2)

October 21, 2003

First Inventor or Application Identifier

Mark A. Moehring

Title

**METHOD AND APPARATUS COMBINING DIAGNOSTIC
ULTRASOUND WITH THERAPEUTIC ULTRASOUND TO
ENHANCE THROMBOLYSIS**

Express Mail Label No.

EL 646073439 US

(Only for nonprovisional applications under 37 CFR § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Sheet (+ copy)
(Submit an original and a duplicate for fee processing)

2. ☒ Applicant(s) Claim(s) Small Entity Status

3. ☒ Specification [Total Pages] **35**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets] **7**

5. ☒ Oath or Declaration [Total Pages] **3**

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer-Readable Form (CRF)

b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)

10. ☐ Assignment Papers (cover sheet & document(s))

11. ☐ 37 CFR 3.73(b) Statement [] Power of Attorney
(when there is an assignee)

12. ☐ English Translation Document (if applicable)

13. ☒ Preliminary Amendment Total Pages **17**

14. ☒ Return Receipt Postcard

15. ☐ Information Disclosure
Statement (IDS)/PTO-1449 [] Copies of IDS Citations

16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

17. ☒ Other: Certificate of Express Mail
Copy Stmt Claiming Small Entity Status

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.:

09/500,708 Filed February 9, 2000

Prior application information: Examiner Ruth S. Smith

Group / Art Unit 3737

☒ Incorporation By Reference (useable if box 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

CORRESPONDENCE ADDRESS

Kimton N. Eng, Esq.
Dorsey & Whitney LLP
1420 Fifth Avenue, Suite 3400
Seattle, Washington 98101-4010
(206) 903-8800 phone / (206) 903-8820 fax

Customer No. 27,076

TYPED or PRINTED NAME Kimton N. EngREGISTRATION NO. 43,605SIGNATURE Kimton N. EngDate October 21, 2003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Mark A. Moehring,
Arne H. Voie and
Merrill P. Spencer

Attorney Docket No.: 500581.08
(29666/US/2)

Filed : October 21, 2003

Title : METHOD AND APPARATUS COMBINING DIAGNOSTIC ULTRASOUND
WITH THERAPEUTIC ULTRASOUND TO ENHANCE THROMBOLYSIS

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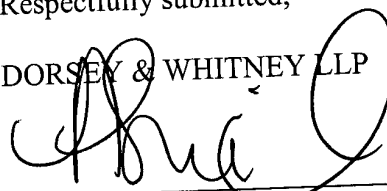
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Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 646073439 US, on October 21, 2003, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

DORSEY & WHITNEY LLP



/ for West Courier

KNE:asw

Enclosures:

Postcard
PTO/SB/05 Utility Patent Application Transmittal
Preliminary Amendment
Specification, Claims, Abstract (35 pages)
7 Sheets of Drawings (Figures 1-10)
Copy of Declaration and Power of Attorney
Copy of Verified Statement (Declaration) Claiming Small Entity Status